

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re: Patent application of : Atty. Docket No.:  
Jeffrey Yass, *et al.* : 192154

Serial No.: Not yet assigned : Examiner:  
: Not yet assigned

Filed: Herewith :  
:

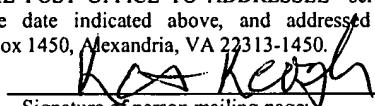
For: SECURITIES AND TRANSACTION : Group Art Unit:  
THEREOF : Not yet assigned  
:

**INFORMATION DISCLOSURE STATEMENT**

M.S. Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.56 and in accordance with 37 C.F.R. §§ 1.97-1.98, the Applicant attaches hereto a completed PTO Form 1449 (modified).

CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.10	
EXPRESS MAIL Mailing Label Number: <u>EV 320478521 US</u>	
Date of Deposit: <u>February 20, 2004</u>	
I hereby certify that this correspondence, along with any paper referred to as being attached or enclosed, and/or fee, is being deposited with the United States Postal Service, "EXPRESS MAIL-POST OFFICE TO ADDRESSEE" service under 37 C.F.R. 1.10, on the date indicated above, and addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.  _____ Signature of person mailing page:	
<u>Katie Keogh</u> _____ Type or print name of person	

**IDENTIFICATION OF TIME OF FILING THIS  
INFORMATION DISCLOSURE STATEMENT**

This Information Disclosure Statement is being filed concurrently with the above-identified patent application. Accordingly, no certification, petition, or fee is required. However, if a fee is due, please charge, or credit any overcharge to, Deposit Account No. 50-0573.

**IDENTIFICATION OF REFERENCES**

U.S. Patents

Other Documents

Roy, Elizabeth: "Corts, Cabcos and Other Bond-Like Mutants." TheStreet.com, October 1, 1999

The examiner is respectfully requested to review each of the above-identified references and make them of record in the instant application as required by M.P.E.P. §609. It is requested that the Examiner initial the enclosed duplicate substitute Form 1449, and return one copy to Applicant's undersigned representative.

The references listed in this Information Disclosure Statement comprise the most pertinent prior art known to Applicant and his attorneys as of the date hereof. This Information Disclosure Statement should not be construed as a representation that the cited references are material or that no better art exists.

Respectfully submitted,

JEFFREY YASS, et al.

BY:

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SUBSTITUTE FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. <b>192154</b>	SERIAL NO. Not yet assigned
INFORMATION DISCLOSURE CITATION			
APPLICANT: <b>Jeffrey Yass, et al.</b>			
FILING DATE <b>Herewith</b>		GROUP <b>Not yet assigned</b>	

**U.S. PATENT DOCUMENTS**

EXAM INER INITI AL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	AA						
	AB						
	AC						
	AD						

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NO.	DATE	COUNTR Y	CLASS	SUBCLASS	TRANSLATION YES	NO
	AE							
	AF							
	AG							
	AH							

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

	AI	Roy, Elizabeth: "Corts, Cabcos and Other Bond-Like Mutants." TheStreet.com, October 1, 1999
	AJ	
	AK	
	AL	
EXAMINER		DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.